

Form No. IV
Appellate Response
Regulation 5.9(h)

1. Arbitration Matter No. _____

Appellant _____
(Name of party filing appeal)

Vs

Respondent _____
(Name of party against whom appeal is filed)

2. List of documents / copy enclosed with the defense of appeal

Tick the documents enclosed along with the application

- ☐ Statement of defense to the appeal
☐ List of documents to support defense statement
☐ Other, please specify _____

Please note that the soft copies of the documents mentioned above has to be submitted along with submission of the physical copy.

3. Choice of arbitrators: (Please refer the note below)

- i. _____
ii. _____
iii. _____
iv. _____
v. _____

Note:

- The list of Arbitrators is available on the Exchange website at Home>Domestic Investor>Arbitration>About Arbitration. Please select the arbitrators from the regional list of arbitrators where the appeal is filed.
- A minimum of five names which are different from the ones who passed the original arbitral award appealed against are required to be provided.
- Form IV along with the documents should be submitted in sets of five
- Arbitrator appointment is done through a computerized automated process wherein neither the Exchange nor the parties are directly involved.

4. (a) I will personally represent the case.

(b) I would represent the case along with my representative _____ in his/her capacity as _____.

(c) On my behalf _____ will represent in his/her capacity as _____.

Note- Investor may attend the proceedings and defend the matter on his own or appoint Authorized Representative to defend the matter by executing a notarized Power of Attorney. In case investor has appointed a Lawyer/Advocate to represent his case, then a duly signed Vakalatnama will be required.

Place*: _____

Date*: _____

*Mandatory

Respondent's Signature*

CHECKLIST

While submitting the Form IV the following things need to be mandatorily provided

1	The documents have been submitted in sets of 5	
2	Statement of defense to the appeal is attached	
3	List of 5 Arbitrators is provided	
4	Form IV is dated and signed	

Please note that the soft copies of the documents mentioned above has to be submitted along with submission of the physical copy.

Note: In case the details of the form are not filled as required, the same might be considered as deficiency.